

**PART B - FEE(S) TRANSMITTAL**

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CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590 12/08/2003

Richard S. Koppel  
KOPPEL, JACOBS, PATRICK & HEYBL  
Suite 107  
555 St. Charles Drive  
Thousand Oaks, CA 91360



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

|                             |                    |
|-----------------------------|--------------------|
| Jeanette E. Casserly        | (Depositor's name) |
| <i>Jeanette E. Casserly</i> | (Signature)        |
| February 18, 2004           | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/078,724      | 02/19/2002  | Jeffrey T. Cheung    | 01SC136US1          | 5449             |

TITLE OF INVENTION: ELECTRICAL GENERATOR WITH FERROFLUID BEARINGS

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO           | \$1330    | \$300           | \$1630           | 03/08/2004 |

| EXAMINER              | ART UNIT | CLASS-SUBCLASS |
|-----------------------|----------|----------------|
| PONOMARENKO, NICHOLAS | 2834     | 290-00100R     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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& HEYBL

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

ROCKWELL SCIENTIFIC LICENSING, LLC Thousand Oaks, California

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee
- ☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 18-1750 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) Richard S. Koppel (Date) 2/17/04

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02/25/2004 SDENB082 00000203 181750 10078724

|            |            |
|------------|------------|
| 01 FC:1501 | 1330.00 DA |
| 02 FC:1504 | 300.00 DA  |
| 03 FC:8001 | 30.00 DA   |

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)



Docket No. 01SC136US1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jeffrey T. Cheung, Hao Xin

Application No. 10/078,724

Examiner: N. Ponomarenko

Filed: February 19, 2002

Art Unit: 2834

Title: ELECTRICAL GENERATOR WITH FERROFLUID BEARINGS

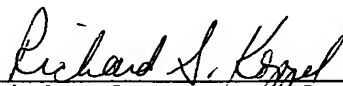
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P.O. Box 1450  
Alexandria, Virginia 22313-1450

TRANSMITTAL OF ISSUE FEE

Sir:

Enclosed is Part B - Issue Fee Transmittal, Comments on Statement of Reasons for Allowance, and copies of our Transmittal of Substitute Drawings and formal drawings. The substitute drawings sheets responsive to the requirement for submission of corrected drawings included in the Notice of Allowability are being submitted under separate cover to the Drawing Review Branch.

Respectfully submitted,

  
Richard S. Koppel  
Registration No. 26,475  
Attorney for Applicant

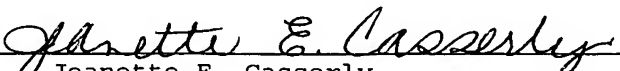
February 18, 2004

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555 St. Charles Drive, Suite 107  
Thousand Oaks, California 91360  
(805) 373-0060  
J\D7\R01SC136 Issue Fee Trs

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February 18, 2004  
Date

  
Jeanette E. Casserly



Packet No. 01SC136US1

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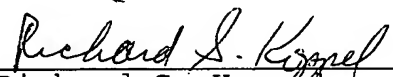
COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Sir:

Applicant agrees that the Examiner has accurately stated one reason for allowance of the claims. However, the claims include other patentable aspects that were not identified by the Examiner. This response is submitted to avoid the possibility of any unnecessary limitation on the scope of the claims which might result from the stated reason for allowance. It is being submitted concurrently with the issue fee payment.

Respectfully submitted,

February 18, 2004

  
Richard S. Koppel  
Registration No. 26,475  
Attorney for Applicant

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J\D7\R01SC136 Reasons for Allow